

*Handwritten:* 2631

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Dated: October 27, 2004      Signature: *Robert B. Cohen*  
(Robert B. Cohen)

Docket No.: SONYTA 3.3-150  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Inose et al.

Application No.: 09/980,517      Group Art Unit: 2631  
Filed: February 28, 2002      Examiner: Not Yet Assigned

For RECEIVING APPARATUS AND RECEIVING METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)**

Sir:

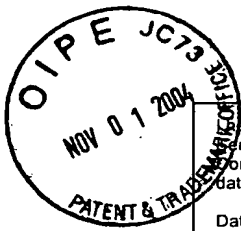
Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: October 27, 2004

Respectfully submitted,

By *Robert B. Cohen*  
Robert B. Cohen  
Registration No.: 32,768  
LERNER, DAVID, LITTENBERG,  
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LD-456\



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**INFORMATION DISCLOSURE STATEMENT**

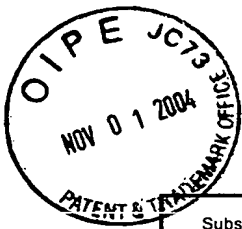
Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 27, 2004

Respectfully submitted,  
Robert B. Cohen  
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Substitute for form 1449A/B/PTO			<b>Complete if Known</b>	
			Application Number	09/980,517
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>			Filing Date	February 28, 2002
			First Named Inventor	Kenji Inose
			Art Unit	2631
			Examiner Name	Not Yet Assigned
			Attorney Docket Number	SONYTA 3.3-150
Sheet	1	of	1	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
	BA	EP-0 762 769-A1		03-12-1997	Philips Electronique Grand Public; Philips Electronics NV		**
	BB	EP-0 835 029-A2		04-08-1998	Sony Corporation		√
	BC	EP-0 966 157-A1		12-22-1999	Sony Corporation		√

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	CA	PHILIPS, "OM5730 - STB5860 (Set-Top Box) STB Concept", August 25, 1999, pp. 1-20, Philips Semiconductors.			√
	CB	HURLEY, T.R., "Evolution of the Digital Set Top Box", International Broadcasting Convention, Conference Publication No. 428, September 12, 1996, pp. 277-282.			√

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Examiner Signature		Date Considered	
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